## SEC Form 4

# FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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| Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). | - | Filed pursua<br>or Se |
|--|---|-----------------------|
| Name and Address of Reporting Person*  |   | 2. Issu               |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

nt to Section 16(a) of the Securities Exchange Act of 1934 action 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*<br><u>McKenzie Tracey L.</u>            |                           |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>HARSCO CORP</u> [ HSC ] |                        | tionship of Reporting Pers<br>all applicable)<br>Director   | n(s) to Issuer<br>10% Owner<br>Other (specify |  |  |  |  |
|--|---------------------------|----------|--|------------------------|---|---|--|--|--|--|
| (Last)<br>350 POPLAR C   | (First)<br>CHURCH ROAD    | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/18/2020                   |                        | Officer (give title<br>below)<br>SVP and CH   | below)  |  |  |  |  |
| (Street)<br>CAMP HILL<br>(City)  | PA 17011<br>(State) (Zip) |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                         | 6. Indiv<br>Line)<br>X | vidual or Joint/Group Filing (Check Applicable<br>Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |   |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                           |          |  |                        |   |   |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |      |   | 4. Securities /<br>Disposed Of ( |               |                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------|---|----------------------------------|---------------|-------------------|---|---|---|
|                                 |  |   | Code | v | Amount                           | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130.4)  |
| Common Stock                    | 02/18/2020                                 |   | М    |   | 17,520                           | Α             | \$ <mark>0</mark> | 48,469  | D   |   |
| Common Stock                    | 02/18/2020                                 |   | F    |   | 8,804                            | D             | \$15.54           | 39,665  | D   |   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of  |       | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-----|-------|--|--------------------|--|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A) | (D)   | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Performance<br>Share Units                          | (1)   | 02/18/2020                                 |   | М                            |   |     | 8,760 | (1)  | (1)                | Common<br>Stock  | 8,760                                  | \$ <mark>0</mark>                                   | 15,431   | D  |  |

Explanation of Responses:

1. Each performance share unit represents a contingent right to receive the equivalent of one share of Harsco common stock. The performance share units vested at a 200% payout based on the total shareholder return of Harsco common stock relative to the S&P 600 Industrial Index.

#### **Remarks:**

#### /s/ Tracey L. McKenzie

\*\* Signature of Reporting Person

02/19/2020 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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