SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

1	ss of Reporting Perso		2. Issuer Name and Ticker or Trading Symbol HARSCO CORP [HSC]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
GRASBERG	<u>ER F NICHOL</u>	<u>AS III</u>	[]	X	Director	10% Owner				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X	Officer (give title below)	Other (specify below)				
350 POPLAR CHURCH ROAD			03/02/2019		Chairman, President	and CEO				
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	nendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applic Line)						
CAMP HILL	PA	17011		X	Form filed by One Report	ing Person				
(City)	(State)	(Zip)			Form filed by More than C Person	One Reporting				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (/ Disposed Of (D) (Instr. 3 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	03/02/2019		М		14,377	A	\$ <mark>0</mark>	447,014	D	
Common Stock	03/02/2019		F		6,108	D	\$22.71	440,906	D	
Common Stock	03/03/2019		М		20,073	A	\$ <mark>0</mark>	460,979	D	
Common Stock	03/03/2019		F		8,528	D	\$22.71	452,451	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	03/02/2019		М			14,377	(2)	(2)	Common Stock	14,377	\$0	108,187	D	
Restricted Stock Units	(1)	03/03/2019		М			20,073	(3)	(3)	Common Stock	20,073	\$0	88,114	D	

Explanation of Responses:

1. Restricted Stock Units convert into common stock on a one-for-one basis.

2. On March 2, 2018 the reporting person was granted 43,132 restricted stock units of which one-third vested on the first anniversary of the grant date.

3. On March 3, 2017 the reporting person was granted 60,219 restricted stock units of which one-third vested on the second anniversary of the grant date.

Remarks:

<u>/s/F. Nicholas Grasberger III</u>

** Signature of Reporting Person

03/05/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.